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# Inverse determination of the influence of fire on vegetation carbon turnover in the pantropics

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## Key Points:

- Pixel-wise inverse modelling approach to determine the impact of fire on pantropical ecosystems functional properties
- Mean annual burned fraction correlates with increased productivity, more carbon allocated to structural pools and shorter transit times
- Large-scale patterns of shifts in allocation are in agreement with field observations which points to the need to refine parameterizations

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## Abstract

Fire is a major component of the terrestrial carbon cycle that has been implemented in most current global terrestrial ecosystem models (TEMs). Here, we use terrestrial carbon cycle observations to characterize the importance of fire regime gradients in the spatial distribution of ecosystem functional properties such as carbon allocation, fluxes, and turnover times in the tropics. A Bayesian model-data fusion approach is applied to an ecosystem carbon model to derive the posterior distribution of corresponding parameters for the tropics from 2000 to 2015. We perform the model-data fusion procedure twice, i.e. with and without imposing fire. Gradient of differences in model parameters and ecosystem properties in response to fire emerge between these experiments. For example, mean annual burned fraction correlates with an increase in carbon use efficiency and reductions in carbon turnover times. Further, our analyses reveal an increased allocation to more fire-resistant tissues in the most frequently burned regions. As fire modules are increasingly implemented in global TEMs, we recommend that model development includes a representation of the impact of fire on ecosystem properties as they may lead to large differences under climate change projections.

## 1 Introduction

Terrestrial ecosystems offset climate change by capturing and storing 25-30% of fossil-fuel emissions of carbon dioxide (Le Quéré et al., 2015), albeit with a large inter-annual variability that is driven by climate variability and fire disturbance (van der Werf et al., 2010; Reichstein et al., 2013). While terrestrial ecosystem models (TEMs) initially represented the impact of climate conditions on ecosystem carbon cycling, recent models have included the representation of fire (Hantson et al., 2016). These new features range from simpler models that use observed fire masks to prescribe burning of steady-state pools (e.g. van der Werf et al., 2010) to fully prognostic process-based models that simulate ignition and spread as a function of soil moisture (a surrogate for fuel curing), wind speed and lightning occurrences (e.g. Lenihan et al., 1998; Thonicke et al., 2010). As large fire-prone savanna regions are a major component of the global land carbon inter-annual variability (Poulter et al., 2014; Ahlström et al., 2015; Liu et al., 2015), this range of complexity in the parameterization of fire-related ecosystem properties (e.g. combustibility, survival strategies) may introduce further uncertainty and biases in estimates of the land carbon sink that remains to be quantified (Hantson et al., 2016; Rabin et al., 2017; Whitley et al., 2017).

Ecosystem models often rely on a discrete categorization of the land surface into plant functional types (PFTs). Each PFT is associated with a set of space and time-invariant parameter values that regulate the ecosystem's biogeophysical and biogeochemical responses to environmental drivers. The spatial distribution of each PFT is based on *a priori* biogeography (e.g. boreal, temperate, tropical), leaf morphology (e.g. broadleaf, needleleaf), deciduousness (deciduous or evergreen), photosynthetic pathways (C3 or C4 plants) and tree cover fraction, from grasslands to forests (Ustin & Gamon, 2010). The model-specific distribution of PFTs is usually created from land cover maps such as the MODIS-derived classification (Friedl et al., 2002) to which various levels of aggregation may be applied to reflect specific adaptation to climatic conditions (e.g. Harper et al., 2016). For example, models that participated in the fifth phase of the Coupled Model Intercomparison Project (CMIP5; Taylor et al., 2012), presented by Arora et al. (2013), represented between 5 and 16 PFTs.

The PFT approach assumes that model parameters are transferable globally within the same PFT (Kuppel et al., 2014). This involves a risk of biases due to possible over-fitting to available training datasets (Scheiter et al., 2013) which may not be representative of all possible trait values among ecosystems included within the same PFT (Verheijen et al., 2013; Scheiter et al., 2013). Indeed, TEM calibration is often performed against eddy-covariance tower data (e.g. Kuppel et al., 2014) but the spatial distribution of these datasets means that models are better constrained in temperate regions of the northern hemisphere. Another issue is that most eddy-covariance towers are installed in fire-free regions or report on fire-free periods. Therefore, models calibrated against these data will not be able to represent the observed impact of fire on ecosystem functional properties (Bond & Keeley, 2005; Pausas & Schwilk, 2012) and limitations on biomass accumulation (Murphy et al., 2014). There is a risk of over-fitting data when transferring model parameters from fire-free conditions to regions of high fire frequency. Indeed, to our knowledge only few models (e.g. aDGVM: Scheiter et al., 2013; LPX: Kelley et al., 2014) represent fire adaptation strategies identifiable in the field such as shifts in carbon allocation among plant tissues (Gignoux et al., 1997) and carbon costs associated with re-sprouting and recovery.

Introducing spatial variation in some parameters can improve the overall quality of large-scale simulations of biomass and productivity, as was demonstrated by Castanho et al., (2013) in the Amazon basin. They used in situ observations to derive relationships (i)

between net primary productivity (NPP) allocation to leaves and roots and soil sand content and (ii) between phosphorus content and maximum carboxylation capacity of RuBisCO. As a result, their model was able to reproduce gradients in woody NPP and above-ground biomass (AGB) more accurately than using homogeneous parameter values for the whole basin. Similar to this previous study (Castanho et al., 2013), we expect that spatially distributed information on ecosystem properties response to fire regimes can improve the representation of the carbon cycle in global scale TEMs but corresponding large-scale datasets are not readily available. Indeed, unlike leaf properties (Usting and Gamon, 2010), internal processes like allocation and transit times (Carvalhais et al., 2014; Bloom et al., 2016) cannot be remotely sensed and need to be retrieved through model-data fusion.

In this study, we seek to overcome this limitation by merging Earth observations of the biosphere with a process-based ecosystem model. The aim of this inverse approach is to identify spatial variation in ecosystem functional properties that are linked to fire. We focus on tropical ecosystems which feature fire-prone regions experiencing largely different disturbance regimes as represented by the spatial distribution of mean annual burned fraction (MABF; Figure 1) calculated from the Global Fire Emissions Database version 4 (GFED4; Giglio et al., 2013), the inverse of the fire return period (Li, 2002). We hypothesise that gradients in fire disturbance have significant impacts on the spatial distribution of ecosystem properties related to productivity, plant allocation and carbon turnover times (Bond and Keeley, 2005). This would point to the need to take the spatial distribution of fire regimes into account when introducing complex fire modules into TEMs.

We use the Carbon Data Model Framework (CARDAMOM; Bloom and Williams, 2015; Bloom et al., 2016) to characterise the importance of ecosystem response to fire in simulations of pantropical carbon dynamics. CARDAMOM is used to retrieve maps of model parameters corresponding to ecosystem functional properties and land-atmosphere carbon fluxes across the pantropical region in agreement with time series of fire (burned area), meteorology and remote-sensing observations of the biosphere. Erb et al. (2016) examined the impact of land-use on global biomass turnover and we take a similar approach to perform a second experiment that excludes the impact of fire on the biosphere. We thereby derive hypothetical maps of potential ecosystem properties and fluxes without fire. Comparing these two retrievals (with and without fire) allows us to quantify the influence of fire on terrestrial C balance of the pantropical region. Finally, we perform a synthetic climate change experiment to assess the impact of including or excluding fire on the sensitivity of terrestrial ecosystems to warming and increasing atmospheric CO<sub>2</sub>.

## 2 Materials and Methods

### 2.1 CARDAMOM

CARDAMOM is a model-data fusion tool that constrains an ecosystem model with available observational datasets. It consists of two main components described hereafter: a terrestrial ecosystem model coupled with a model-data fusion procedure.

#### 2.1.1 DALEC ecosystem model

CARDAMOM uses the Data-Assimilation Linked Ecosystem Carbon Model (DALEC; Williams et al., 2005; Bloom and Williams, 2015), a model of the terrestrial carbon cycle (Figure 2). DALEC calculates Gross Primary Production (GPP) with the Aggregated Canopy Model (ACM; Williams et al., 1997). Autotrophic respiration ( $R_a$ ) is a fixed fraction of GPP and fixed fractions of the remaining Net Primary Productivity (NPP) are allocated to 4 live biomass pools: foliar, labile, wood and fine roots. The labile pool ( $C_{labile}$ ) represents a

reserve of non-structural carbon that can supplement the allocation to the foliar pool ( $C_{\text{foliar}}$ ) corresponding to leaf expansion. Leaf growth is controlled by a Growing Season Index (GSI), similar to the one introduced by Jolly et al. (2005).

In our model, GSI is the product of 3 piece-wise linear functions of average daily minimum temperature ( $T_{\text{min}}$ ), photoperiod, and Vapour Pressure Deficit (VPD). Each of these functions returns a value that ranges from 0 (limiting conditions) to 1 (optimal conditions) and their shape is controlled by two parameters that correspond to critical values. The value of GSI is used to scale the release of  $C_{\text{labile}}$  into  $C_{\text{foliar}}$  when environmental conditions are good for leaf production. Conversely, the value  $(1-\text{GSI})$  is used to scale leaf loss into the litter pool ( $C_{\text{litter}}$ ). First-order kinetics are used to simulate the turnover of fine root C ( $C_{\text{root}}$ ) into  $C_{\text{litter}}$  and woody carbon ( $C_{\text{wood}}$ ) into soil organic matter carbon pool ( $C_{\text{som}}$ ). Microbial decomposition produces heterotrophic respiration ( $R_h$ ) and the model provides the Net Ecosystem Exchange (NEE) as the net biogenic flux of carbon from the land to the atmosphere: (calculated as  $\text{NEE} = R_a + R_h - \text{GPP}$ ).

Fire generates carbon emissions from combustion of live and dead C pools (red upward arrows in Figure 2) but also accelerates the turnover of live carbon into dead pools (red lateral arrows in Figure 2). It is imposed to a fraction of each pixel according to the satellite-based GFED4 burned area product (Giglio et al., 2013). Fire-induced emissions and mortality fluxes are calculated similarly to van der Werf et al. (2010) with fixed combustion resilience and emissions factors of the different carbon pools (Bloom et al., 2016). At each time-step  $t$  emission factors  $k_p$  are used to calculate C emissions from each pool  $p$  due to fire such as

$$FE_{p,t} = B_t \times k_p \times C_{p,t} \quad (1)$$

where  $FE_{p,t}$  is the total fire C emission at time step  $t$ ,  $B_t$  is the GFED4-derived fraction of pixel burned, and  $C_{p,t}$  is the C in pool  $p$  at time step  $t$ . We use the same combustion factors as Bloom et al. (2016) for  $C_{\text{labile}}$  (0.1),  $C_{\text{foliar}}$  (0.9),  $C_{\text{root}}$  (0.1),  $C_{\text{wood}}$  (0.1),  $C_{\text{litter}}$  (0.5) and  $C_{\text{som}}$  (0.01) where higher values of  $k_p$  are used for more flammable C pools. A resilience factor  $r = 0.5$  is assigned to simulate mortality of live biomass and  $C_{\text{litter}}$  transfer to  $C_{\text{som}}$  such as

$$FM_{p,t} = B_t \times (1 - k_p) \times (1 - r) \times C_{p,t} \quad (2)$$

where  $FM_{p,t}$  is the fire-imposed mortality or litter transfer C flux from pool  $p$ . Overall fire accelerates vegetation turnover and provides an additional input of dead organic matter in  $C_{\text{som}}$ . Fire fluxes are used to update pool sizes at each time-step and the Net Biome Exchange of C (NBE) is calculated by adding fire C emission fluxes to NEE.

### 2.1.2 Model-data fusion

The reduced complexity of the DALEC ecosystem model enables it to perform computationally intensive data-assimilation procedures to quantify the uncertainty in the six initial pool conditions and 20 process parameters (see Table 1) according to observations. CARDAMOM adopts the approach described in Bloom and Williams (2015) and Bloom et al. (2016) that combines a Markov Chain Monte Carlo (MCMC) procedure with Ecological and Dynamical Constraints (EDCs). The EDCs represent a formulation of the ecological common-sense that help constrain inter-dependencies between ecosystem processes (Bloom and Williams, 2015). They help reduce the uncertainty in model parameters by dismissing simulations that do not satisfy a range of conditions applied to carbon turnover rates, allocation ratios and trajectories of carbon pools. For this study, the model-data fusion procedure is performed for the pantropics using 5,417 pixels at a  $1^\circ \times 1^\circ$  spatial resolution corresponding to the extent of the above ground biomass map from Avitabile et al. (2016).



This dataset blends two previous pantropical biomass maps (Saatchi et al., 2011; Baccini et al., 2012) with additional in-situ data and high resolution local maps. Avitabile et al. (2016) AGB map yields lower estimates of pantropical AGB stocks and different spatial patterns. Most noticeably, it predicts more biomass in the Congo basin, the Guyana shield and South-East Asia than either of Saatchi et al. (2011) or Baccini et al. (2012) maps. Conversely, Avitabile et al. (2016) maps estimates lower biomass in African savannahs and Central America.

In each pixel, the MCMC relies on Bayesian inference to determine the probability distribution of a model parameter set  $x$  given observations  $O$  such as

$$p(x|O) \propto p(x) \times p(O|x) \quad (3)$$

where  $p(x)$  represents the prior probability of a parameter set  $x$  calculated such as

$$p(x) = p_{EDC}(x) \times e^{-0.5 \left( \frac{\log(f_{auto}) - \log(0.5)}{\log(1.2)} \right)^2} \times e^{-0.5 \left( \frac{\log(C_{eff}) - \log(17.5)}{\log(1.2)} \right)^2} \quad (4)$$

with  $p_{EDC}(x)$  representing the prior parameter probability according to 12 EDCs. These represent qualitative constraints to estimate realistic allocation parameters, relative turnover rates and pool trajectories in agreement with ecological knowledge. We use the same EDCs as in Bloom et al. (2016) modified from Bloom and Williams (2015). According to equation 4,  $p(x)$  also includes a prior value of 0.5 for the autotrophic respiration fraction  $f_{auto}$  (i.e. a 0.5 ratio of NPP to GPP) and a prior value of 17.5 for the canopy efficiency  $C_{eff}$ , a replacement of the nitrogen  $\times$  nitrogen use efficiency product which scales GPP in ACM. These priors and their uncertainty were derived by Bloom et al. (2016) and represent the range of  $f_{auto}$  reported by DeLucia et al. (2007) and yield global GPP values consistent with Beer et al. (2010).

The likelihood  $p(O|x)$  is computed according to the ability of the model to reproduce time series of MODIS LAI fluxes and assuming that total biomass (i.e. the sum of  $C_{foliar}$ ,  $C_{litter}$ ,  $C_{root}$  and  $C_{wood}$ ) from Avitabile et al. (2016) and  $C_{som}$  from the Harmonized World Soil Database version 1.21 (Food and Agricultural Organization, 2012) are representative of the system's initial pool sizes such as

$$p(O|x) = e^{-0.5 \left( \frac{\log(O_{TBC}) - \log(M_{TBC,0})}{\log(1.5)} \right)^2} \times e^{-0.5 \left( \frac{\log(O_{som}) - \log(M_{som,0})}{\log(1.5)} \right)^2} \times e^{-0.5 \sum_{t=1}^N \left( \frac{\log(O_{LAI,t}) - \log(M_{LAI,t})}{\log(2)} \right)^2} \quad (5)$$

where  $O_{TBC}$  and  $M_{TBC,0}$  are the observed and modelled initial total biomass carbon,  $O_{som}$  and  $M_{som,0}$  are the observed and modelled initial soil organic matter carbon stocks and  $O_{LAI,t}$  and  $M_{LAI,t}$  are the observed and modelled LAI at time step  $t$ . Both biomass,  $C_{som}$  and LAI datasets were re-gridded to the centre of the nearest neighbour  $1^\circ \times 1^\circ$  pixel, using an area-weighted interpolation for biomass carbon and LAI and the dominant soil type for  $C_{som}$  as provided by Exbrayat et al. (2014). We used log-transformed values and uncertainty factors as per Bloom et al. (2016).

## 2.2 Characterising the influence of fire on ecosystem properties

In order to estimate the impact of fire on ecosystem properties we set up two CARDAMOM experiments over all regions covered in Avitabile et al. (2016) biomass map (Figure 1). The experiments are performed with a monthly time-step from January 2000 to

December 2015 using the ERA-Interim re-analysis climate data (Dee et al., 2011). The first experiment, hereafter referred to as FIRE, uses monthly burned area from GFED4 (Giglio et al., 2013) to impose fire on ecosystems, while we omit this driver in the second experiment (hereafter referred to as NOFIRE). Apart from this difference in disturbance drivers, both experiments are identical. For each  $1^\circ \times 1^\circ$  grid cell, we run three MCMC chains until 10,000,000 parameter sets have been accepted. Based on 500 parameter sets from the second half of each chain, we re-run the model 1,500 times and derive corresponding time series of ecosystem carbon fluxes and pool changes with corresponding confidence intervals. We repeat this procedure for the FIRE and NOFIRE experiments independently resulting in the retrieval of two unique parameter ensembles for every location (i.e. one with fire and one without).

CARDAMOM performs the data-assimilation procedure in each pixel without any prior information on a specific land cover or PFT although such information may exist in the data constraints such as MODIS LAI. Therefore, CARDAMOM creates smooth maps of process parameters which correspond to ecosystem functional properties (e.g. allocation of GPP to plant tissues, turnover rates). The MCMC approach estimates posterior distributions of parameter and state variables from which confidence intervals can be sampled. We compare the FIRE and NOFIRE experiments against other datasets. We use GPP estimates from FLUXCOM (Tramontana et al., 2016; Jung et al., 2017) that correspond to up-scaled measurements from the FLUXNET network of eddy-covariance towers (Baldocchi et al., 2001; Baldocchi et al. 2014). We use the average of an ensemble of six FLUXCOM GPP datasets, each based on a different machine-learning method, to compare with our retrievals. While our estimates used GFED4's burned area as a driver, we compare the magnitude of the corresponding C emissions with those reported in GFED4 database, which are based on an ecosystem model at steady-state (van der Werf et al., 2010). Finally, we investigate the impact of introducing fire drivers on the median, i.e. highest confidence, of our retrievals as well as its impact on the uncertainty represented by the 90% confidence interval (CI<sub>90</sub>). We focus on land-atmosphere fluxes, allocation of GPP into vegetation C pools and turnover times calculated following Bloom et al. (2016) such as

$$TT_p = \frac{C_p}{F_{in,p} - \Delta C_p} \quad (6)$$

where  $TT_p$  is the turnover time (in years) of C in the p-th pool (Figure 2),  $C_j$  is the mean pool size,  $F_{in,p}$  is the mean annual input into  $C_p$  due to productivity (in plant C pools  $C_{foliar}$ ,  $C_{labile}$ ,  $C_{root}$ ,  $C_{wood}$ ), turnover and fire-induced mortality (from plant pools to  $C_{litter}$  and  $C_{som}$ ) and decomposition ( $C_{litter}$  to  $C_{som}$ ) and  $\Delta C_p$  is the mean annual change of  $C_p$ .

### 3 Results

#### 3.1 Carbon balance

Both FIRE and NOFIRE experiments indicate that the pantropics were a net sink (negative NBE) of atmospheric carbon during the study period (Table 2). However, the apparently similar values of NBE in the two experiments is the result of differences in the gross fluxes that are imposed by the representation or omission of fire processes in FIRE and NOFIRE experiments, respectively. Indeed, while the sign and magnitude of NBE is similar in both experiments, NEE retrieved in the FIRE experiment indicate a stronger net carbon uptake (NEE, NBE) from tropical ecosystems than the NOFIRE experiment.

We compare retrievals with independent gridded estimates of the terrestrial carbon balance. FLUXCOM GPP estimates over the study region represent  $86.6 \text{ Pg C y}^{-1}$ , which is



about 5% lower than the median of our retrievals (Table 2). We note a tendency for CARDAMOM to simulate higher GPP than FLUXCOM at low latitudes, and the opposite at mid-latitudes of the southern hemisphere (Figure S1). Nevertheless, FLUXCOM estimates lie within the 50% confidence interval reported in Table 2 and there is a good agreement ( $r = 0.88$ ;  $p \ll 0.001$ ) in the spatial distribution of GPP in both the experiments compared to the FLUXCOM estimates.

We also compare emissions from fire retrieved in the FIRE experiment with estimates from the GFED4 database. We retrieve mean annual fire emissions of  $1.18 \text{ Pg C y}^{-1}$  which is 7% lower than the GFED4 estimate of  $1.27 \text{ Pg C y}^{-1}$ , although this number lies within the 50% confidence interval of the retrievals. There are regional differences in the distribution of fire emissions between the FIRE experiment and GFED4 emissions. Overall, CARDAMOM simulates lower emissions than GFED4 in regions located at the edge of tropical rainforests in South America, central Africa and South East Asia (i.e. tropical savanna), while it simulates higher emissions in semi-arid regions of the Sahel, southern Africa and Australia. There is a significant correlation in the spatial distribution of the emissions reported by GFED4 emissions and those in the FIRE experiment ( $r = 0.65$ ;  $p \ll 0.001$ ).

### 3.2 Impact of fire on ecosystem properties

Clear differences in the spatial distribution of ecosystem properties emerge between the FIRE and NOFIRE simulations. In most locations, annual GPP is higher in the FIRE simulations compared to the NOFIRE simulations (Figure 3a). This difference is exacerbated in areas where MABF is large, leading to differences up to  $0.1 \text{ kg C m}^{-2} \text{ y}^{-1}$  (Figure 3a), or a 6% increase of GPP in the FIRE experiment compared to the NOFIRE experiment where MABF is  $>10\%$ . The increase in retrieved GPP in FIRE is accompanied by a decrease in  $R_{\text{eco}}$  (Figure 3b). The reduction of  $R_{\text{eco}}$  in FIRE compared to NOFIRE follows a trend with MABF (Figure 3b) and it decreases by about 5% in areas where MABF is  $>10\%$ . We do not identify a change in the uncertainty of the GPP and  $R_{\text{eco}}$  retrievals as represented by the width of the  $\text{CI}_{90}$  (Figure 3c and 3d).

Similar to GPP, imposing fire disturbances led FIRE retrievals to estimate stronger pantropical NPP than in NOFIRE retrievals (Table 2). The distribution of the increase in NPP follows a pattern similar to the increase in GPP. Compared to the NOFIRE simulations, places with the highest MABF exhibit the strongest increase in median NPP (Figure 4a) in the FIRE simulation that is on average 10% where MABF is  $>10\%$ . The higher NPP in the FIRE experiment is due to higher GPP, lower  $R_a$  (Table 2) and an increase in carbon-use efficiency (CUE,  $\text{NPP/GPP}$ ; Figure 4b and 4c). The increase in CUE in the FIRE simulation is stronger in regions where the MABF is high (Figure 4b and 4c). CUE is on average 4% higher in the FIRE simulation than in the NOFIRE simulation where MABF is  $>10\%$ . Additional to the increase in CUE, imposing fire leads to changes in NPP allocation, with shift toward a smaller fraction of NPP allocated to photosynthetic C pools (i.e. foliar and labile pools; Figure 4b) and, conversely, a bigger fraction of NPP allocated to structural C pools (i.e. wood and root pools; Figure 4c). The magnitude of the changes in NPP allocation patterns is relative to MABF. In places where it is  $>10\%$ , FIRE retrievals allocate on average an 8% smaller fraction of NPP to photosynthetic C pools, and a 22% greater fraction to structural C pools.

FIRE simulations estimate a biomass of  $300.2 (232.0 / 391.2) \text{ Pg C}$  in the study area, which is 2.5% lower than the corresponding estimate of  $307.4 (236.7 / 402.4) \text{ Pg C}$  in NOFIRE simulations. While the difference is marginal at the pantropical scale, we note that there is a tendency for a reduction in vegetation biomass in regions where fire regime is

intense (Figure 5a and 5c), particularly in central Africa and Australia. This is accompanied by a reduction in uncertainty that follows the same tendency (Figure 5b and 5d). On average, in regions where MABF is >10% the median biomass retrieved in the FIRE experiment is 16% lower than that in NOFIRE while the corresponding uncertainty shrinks by 29%. This occurs despite using the same prior for biomass derived from Avitabile et al. (2016) in both experiments (Figure S3). For the whole pantropics the median NOFIRE retrievals of biomass are in better agreement (Figure S3b;  $\text{rmse} \approx 0.389 \text{ kg C m}^{-2}$ ) with the prior than the FIRE retrievals (Figure S3a;  $\text{rmse} \approx 0.477 \text{ kg C m}^{-2}$ ). Furthermore, the difference between FIRE retrievals and observations from Avitabile et al. (2016) grows for greater MABF (Figure S3a) while NOFIRE retrievals are comparable to the prior from Avitabile et al. (2016) across the whole pantropics (Figure S3b).

The increase in NPP and reduction of biomass lead retrieved vegetation carbon transit times to be shorter in the FIRE experiment compared to the NOFIRE experiment (Figure 6a) especially in regions where MABF is large (Figure 6c). Over the whole pantropical region imposing fire leads to an area-weighted 7% acceleration of vegetation carbon cycling corresponding to a shortening of area-weighted transit time from 8.5 years to 7.9 years. It drives a 3% shortening of highest confidence average ecosystem carbon transit times shifting from 38.9 years in NOFIRE to 37.6 years in FIRE. However, the spatial distribution of these differences is heterogeneous and matches regions where MABF is the highest, especially southern Africa and northern Australia (Figure 6a). In places where MABF is >10%, the area-weighted median vegetation carbon turnover time retrieved by CARDAMOM is 32% shorter in the FIRE simulations compared to the NOFIRE simulations. This tendency toward a reduction of the vegetation carbon turnover times in frequently burned pixels is mirrored by a reduction in the uncertainty of the retrievals (Figure 6b and 6d). Compared to the NOFIRE experiment, the uncertainty in the FIRE retrievals of vegetation carbon turnover time, measured as the width of the 90% confidence interval, is reduced by 7% over the whole pantropical regions. However, similarly to the median retrievals, the main impact is seen in regions with a high frequency of fire, and a mean reduction of the uncertainty by 48% in pixels where MABF > 10% (Figure 6d).

## 4 Discussion

We have retrieved the modern terrestrial carbon cycle in the pantropics using two alternative model versions that include or omit fire processes imposed by observations of burned area (Giglio et al., 2013). We note that both experiments yield similar results in pantropical GPP. Still, we note that pantropical GPP increases in the FIRE experiment which is mostly due to an increase in regions with larger MABF (Figure 3a) where growth and recovery are stimulated even in places where LAI may have been largely reduced. Higher productivity in retrievals that include fire may seem counter-intuitive as it is a globally significant consumer but these results were not unexpected considering several aspects of the inverse model-data fusion approach we adopted. For example, GPP is constrained through the assimilation of the same LAI time series in the ACM model (Williams et al., 1997) and the use of the same prior value for the parameter  $C_{\text{eff}}$  (Table 1; Bloom et al., 2016) in both experiments. Therefore, both retrievals yield similar values but productivity is higher in FIRE to offset LAI losses due to fire. In both experiments the highest confidence estimates agree that the pantropical regions have acted as a sink of atmospheric  $\text{CO}_2$  of  $1.7 \text{ Pg C y}^{-1}$  in the FIRE experiment and  $1.9 \text{ Pg C y}^{-1}$  in the NOFIRE experiment. These comparable estimates are due to a stronger biogenic sink (i.e. more negative NEE; Table 2) in the FIRE experiment that is partially offset by fire emissions. The similarity between NBE retrievals obtained with and without prescription of fire can be attributed to the use of the same biomass and soil

carbon constraints with corresponding EDCs designed to limit the drift of carbon pools. Overall, similarities in the simulated pantropical carbon balance were expected but the inverse model-data fusion approach highlights differences in inner dynamics imposed by the addition or omission of fire disturbances.

Compared to GFED4 the FIRE experiment yields systematically higher emissions in semi-arid regions, and conversely less in more humid regions. Differences in fire emissions between the FIRE experiment and the GFED4 estimates (Figure S2) may arise from differences in the way fire is imposed, and carbon stocks and associated combustion factors at the time fire occurs. The GFED4 (van der Werf et al., 2010) fire C loss approach distinguishes between herbaceous, forest and peat fires and sub-grid scales, which effectively introduces spatially-explicit patterns in the GFED combustion factors. In contrast, DALEC combustion factors are assumed to be constant throughout the tropics while observational studies indicate they may vary spatially and temporally (Russell-Smith et al. 2009). This current limitation in DALEC could lead to relatively higher fire C emissions in grid-cells comprised of both forest, savanna and grassland ecosystems, but where fires predominantly occur within the savanna and grassland regions (Giglio et al., 2013). Furthermore, van der Werf et al. (2010) limit the soil C loss to 50cm in biomass burning regions, while CARDAMOM soil pool depth is not resolved. It points to a possible overestimation of emissions from DALEC as controlled fire experiments indicate that low intensity fires do not have significant impacts on soil processes in frequently burned savannas of South America (Pinto et al., 2002), southern Africa (Zepp et al., 1996) and Australia (Livesley et al., 2011). Differences between CARDAMOM and GFED, as well as the wide range of combustion factor estimates across tropical ecosystems (Ward et al., 1997; Carvalho et al., 2001; van Leeuwen et al., 2014, amongst many others) highlight that further efforts are needed to establish the sensitivity of our results to prescribed fire combustion factors. Following this study, developments of DALEC will address this aspect by dynamically linking combustion factors to the ecosystem water balance, assuming higher combustibility under dry conditions. Additional drivers like fire radiative power (Freeborn et al., 2014) could also be used to constrain combustion completeness.

Another notable difference between GFED and CARDAMOM fire emissions is that the assimilated biomass map values (Avitabile et al., 2016) – which exhibit the effects of past deforestation – constrain the initial carbon pools: in contrast, GFED4 estimates rely on a model brought to equilibrium by recycling modern climate and fire burned area data (van der Werf et al., 2010). Therefore, our estimates have less fuel to burn in these areas and that partially explains the systematically lower emissions simulated by CARDAMOM in the wet tropics at the edge of the rainforests (Figure S2). We note that the uncertainty in CARDAMOM's estimates may be seen as a current limitation, but we are confident that the future availability of high frequency remotely-sensed biomass data (e.g. Le Toan et al., 2011) will help improve its ability to estimate the state and dynamics of the terrestrial carbon cycle as shown with site-scale experiments (Smallman et al., 2017).

The impact of fire on land-atmosphere carbon fluxes and transit times is reflected by changes in plant allocation patterns between NOFIRE and FIRE simulations. For more frequently burned ecosystems, there was an increased allocation of NPP to structural C (i.e.  $C_{\text{wood}}$  and  $C_{\text{roots}}$ ; Figure 4c) at the expense of photosynthetic C (i.e.  $C_{\text{foliar}}$  and  $C_{\text{labile}}$ ; Figure 4b). This model behaviour is similar to the increased allocation of carbon to fire resistant bark after imposing fire in the experiment reported by Scheiter et al. (2013) and observations of Lawes et al. (2011a) on fire resistance conferred by bark thickness. Comparing retrievals from the FIRE and NOFIRE experiments, we note a strong influence of fire on ecosystem fluxes and functional properties. At the pantropical scale, feedbacks between fire and

biogenic processes in the FIRE experiment maintain a carbon balance similar to the NOFIRE experiment. This is explained by the interplay between adjusted allocation and turnover rates that increase the capacity of plants to store C (NEE in Table 2) and high levels of sequestration post-fire recovery in the corresponding experiments. Regional differences between the FIRE and NOFIRE experiment indicate a coupling of higher productivity, higher CUE and allocation to resistant plant parts with more intense fire regimes (Figure 4). We note a shortening in vegetation and ecosystem C transit times when imposing fire, clearly linked to disturbance and resulting C losses followed by rapid regrowth associated with the modelled increase in GPP in intensely burned regions (Figure 3).

The reduction of biomass (Figure 5) for higher MABF agrees with aDGVM model simulations of fire suppression over African savannas (Scheiter and Higgins, 2009) and fire management for northern Australia (Scheiter et al., 2015) as well as worldwide observations from in-situ fire exclusion experiments (Tilman et al., 2000; Higgins et al., 2007). Furthermore, the larger decrease in biomass from the NOFIRE to the FIRE simulations is in agreement with observations that indicated a negative correlation between fire frequency and biomass in Australian tropical savannas for example (Williams et al., 1999; Russell-Smith et al., 2003; Murphy et al., 2014). There is also an increase in differences between prior biomass information and values retrieved in the FIRE experiment as MABF increases (Figure S3). The lack of agreement between our retrievals and prior biomass data indicates that relevance of pan-tropical biomass maps for model benchmarking in fire-prone regions needs to be evaluated.

Clear shifts in CUE and plant carbon allocation in response to fire emerge between the FIRE and NOFIRE experiments (Figure 4). CUE increases in fire-prone regions already capable of high GPP (Figure 3), which results in higher NPP (Figure 4a) and thus providing more carbon available to drive re-sprouting and/or coppicing and stand regeneration post fire (Beringer et al. 2007). Ecosystems with higher MABF invest less in ‘easily burned tissues’ (i.e. leaves; Figure 4b) and more in fire resistant tissues (i.e. woody C in our model; Figure 4c). Such a resistance strategy is evident in woody vegetation in fire-prone ecosystems of Australian (Clarke et al., 2015, Lawes et al. 2011b) and African savannas (Gignoux et al., 1997; Nefabas and Gambiza, 2007) and also emerges from individual-based modelling (Scheiter et al., 2013). Indeed, allocating carbon to less flammable plant pools results in a reduction of fuel loads and an increase in survival chances (Clarke et al., 2013). The capacity for CARDAMOM to retrieve parameter sets representing shifts in ecosystem properties in response to changes in MABF leads to reduced uncertainty of retrieved ecosystem stocks and turnover times (Figure 5 and 6) although few outliers exhibit the opposite behavior in regions of the Sahel where MABF is between 20% and 50%. While we do not investigate this aspect further we suspect that it might come from the mix of natural and managed fires in this region. In every other places, fire strongly constrains ecosystem carbon pathways and turnover processes during the assimilation procedure.

Our large-scale results are in agreement with field observations of the influence of fire on ecosystem functional properties (Pausas and Schilke, 2011). While our approach is currently focused on the tropics, we expect similar relationships to emerge in temperate and boreal ecosystems. However, the lack of availability of a wall-to-wall biomass maps in extra-tropical regions currently limits the applicability of our approach. A similar investigation will be made possible by the upcoming launch of the Global Ecosystem Dynamics Investigation (GEDI; Stavros et al., 2017) which should provide a global biomass map in 2020. The parameter and transit time maps (Figures 3-6) indicate that fire spatially influences ecosystem functioning properties crossing boundaries between PFT maps used in classical TEMs. While PFT maps are subject to criticism, we acknowledge that they offer a trade-off between model



precision and computational costs. Therefore, for next generation TEMs to rely on distributed trait maps, further studies are required on fire-climates interactions with ecosystem parameters. For example, separating evergreen broadleaf ecosystems into two different tropical and temperate PFTs and updating parameter values to match with observational datasets of traits values (Kattge et al., 2011) has recently led to improvements in the JULES model (Clark et al., 2011; Harper et al., 2016). An option to mirror this approach with respect to fire dynamics could be to further categorize PFTs based on the distribution of fire regimes (Archibald et al., 2013; Whitley et al., 2017). For example, Archibald et al. (2013) have identified five global syndromes of fires regimes, or pyromes, based on remotely-sensed information of their frequency, intensity and size. They showed that the spatial distribution of pyromes resulted from complex interactions between biome types, local climate and human activities, all of which may change in a warmer world.

## **5 Conclusions**

We have used the CARDAMOM model-data fusion system to retrieve continuous parameter maps related to C cycling for the DALEC ecosystem model at one degree spatial resolution for the whole pantropics. Our coarse resolution results reveal shifts in CUE, allocation and biomass that are in agreement with field observations of the impact of fire, or of its exclusion, on ecosystem properties. The model-data fusion procedure retrieved shorter turnover times of carbon in vegetation and ecosystems when fire processes were represented. We attribute this acceleration of the turnover to an increase in NPP, driven by higher CUE, and lower biomass stocks. Additionally, there is a shift toward more allocation to fire resistant plant tissues. We note that the magnitude of differences imposed by fire gradually increases with MABF while the corresponding uncertainties shrink, indicating that fire processes imposed a strong constraint on retrieved properties. Finally, the synthetic climate sensitivity test we perform indicates non-trivial differences in the sensitivity of ecosystems to future climate change when fire disturbances were included in the model-data fusion. As prognostic fire is a process that is increasingly implemented in TEMs our results highlight the need to better represent ecosystem response to fire.

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## **Data availability**

CARDAMOM simulations used in this study are freely available from Exbrayat et al. (2018) on the University of Edinburgh's DataShare service: <http://dx.doi.org/10.7488/ds/2317>.

FLUXCOM GPP estimates used in this study are available from the data portal of the Max Planck Institute for Biogeochemistry at

[https://doi.org/10.17871/FLUXCOM\\_RS\\_METEO\\_CRUNCEPv6\\_1980\\_2013\\_v1](https://doi.org/10.17871/FLUXCOM_RS_METEO_CRUNCEPv6_1980_2013_v1) (Jung, 2016).

GFED4 fire emissions estimates are available from <http://www.globalfiredata.org>

#### **Conflicts of interest**

The authors declare no conflicts of interest.

Accepted Article



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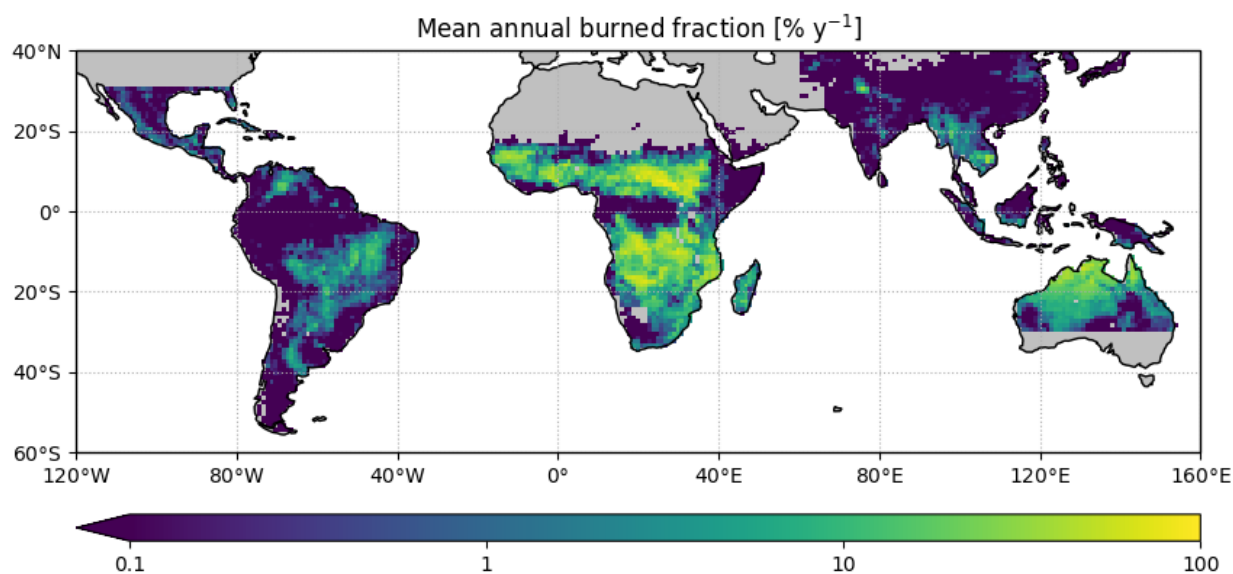
**Table 1.** DALEC-GSI model parameter description and ranges allowed in the MCMC.

Parameter	Name	Range
$f_{\text{auto}}$	Autotrophic respiration fraction of GPP	0.3 – 0.7
$f_{\text{lab}}$	Fraction of GPP allocated to labile C pool	0.01 – 0.5
$f_{\text{fol}}$	Fraction of GPP allocated to foliage	0.01 – 0.5
$f_{\text{root}}$	Fraction of GPP allocated to fine roots	0.01 – 0.5
$\theta_{\text{lab}}$	labile C turnover rate	$10^{-5} - 10^{-1} \text{ d}^{-1}$
$\theta_{\text{fol}}$	foliar C turnover rate	$10^{-6} - 10^{-1} \text{ d}^{-1}$
$\theta_{\text{root}}$	fine roots C turnover rate	$10^{-4} - 10^{-2} \text{ d}^{-1}$
$\theta_{\text{woo}}$	wood C turnover rate	$2.5 \times 10^{-5} - 10^{-3} \text{ d}^{-1}$
$\theta_{\text{lit}}$	litter C turnover rate	$10^{-4} - 10^{-2} \text{ d}^{-1}$
$\theta_{\text{som}}$	soil organic matter C turnover rate	$10^{-7} - 10^{-3} \text{ d}^{-1}$
$\theta_{\text{min}}$	litter mineralization rate	$10^{-5} - 10^{-2} \text{ d}^{-1}$
$\Theta$	temperature dependence exponent factor affecting litter and soil organic C turnover times	0.018 – 0.08
$c_{\text{eff}}$	canopy efficiency parameter	10 – 100
$c_{\text{lma}}$	leaf carbon mass per area	10 – 400 g C m <sup>-2</sup>
$\text{VPD}_{\text{min}}$	optimal VPD for leaf production	1 – 5500 Pa
$\text{VPD}_{\text{max}}$	optimal VPD for leaf senescence	1 – 5500 Pa
$\text{Tmn}_{\text{min}}$	limiting Tmn for phenology	225 – 330 K
$\text{Tmn}_{\text{max}}$	optimal Tmn for phenology	225 – 330 K
$\text{Photo}_{\text{min}}$	limiting photoperiod for phenology	3600 – 82800 s d <sup>-1</sup>
$\text{Photo}_{\text{max}}$	optimal photoperiod for phenology	3600 – 82800 s d <sup>-1</sup>

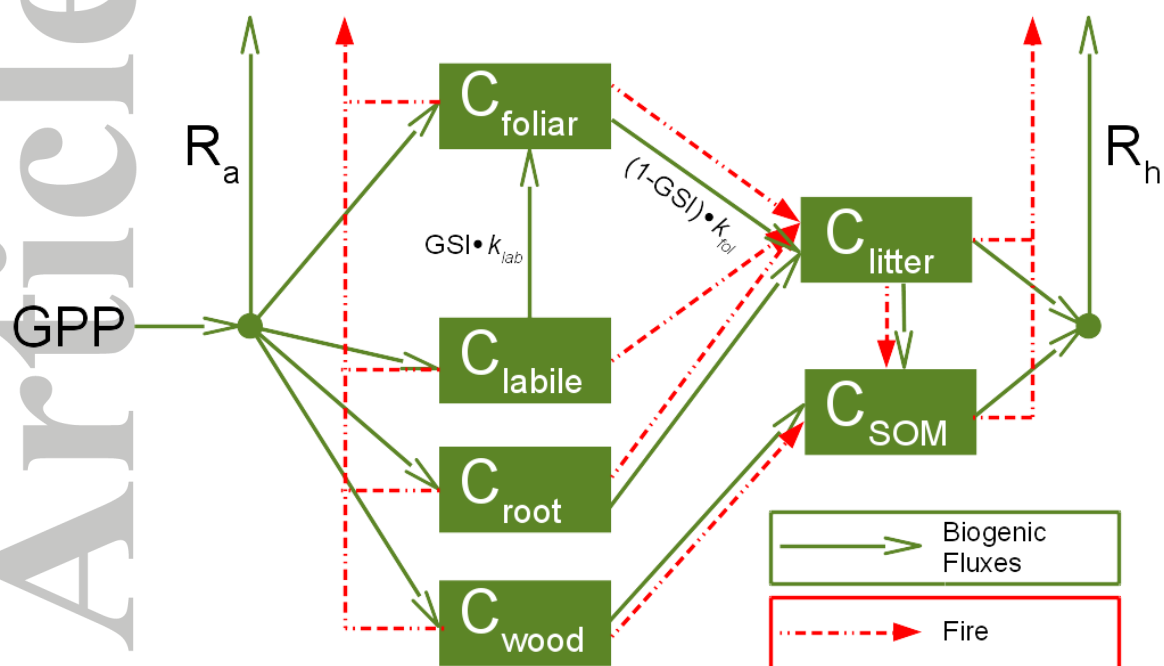
**Table 2.** The terrestrial carbon budget of the pantropics retrieved in the FIRE and NOFIRE experiments during 2000-2015. We present mean annual values and the uncertainty across the 50% confidence range assuming spatial correlation between uncertainties in all pixels. All values are in Pg C y<sup>-1</sup>, rounded to the first decimal. Negative values of NEE and NBE denote a net C uptake from the atmosphere.

Fluxes	FIRE: median (25 <sup>th</sup> / 75 <sup>th</sup> percentiles) <sup>a</sup>	NOFIRE: median (25 <sup>th</sup> / 75 <sup>th</sup> percentiles)
GPP	91.5 (83.5 / 100.8)	91.3 (83.3 / 100.2)
R <sub>a</sub>	47.4 (40.8 / 55.2)	47.5 (40.9 / 55.3)
NPP (GPP-R <sub>a</sub> )	43.3 (36.8 / 50.7)	42.9 (36.4 / 50.1)
R <sub>h</sub>	40.2 (33.1 / 48.5)	40.9 (33.7 / 49.1)
NEE (-NPP+R <sub>h</sub> )	-2.9 (-6.7 / 1.0)	-1.9 (-5.5 / 1.9)
Fire emissions <sup>b</sup>	1.2 (0.9 / 1.5)	-
NBE (NEE+Fire)	-1.7 (-5.4 / 2.2)	-1.9 (-5.5 / 1.9)

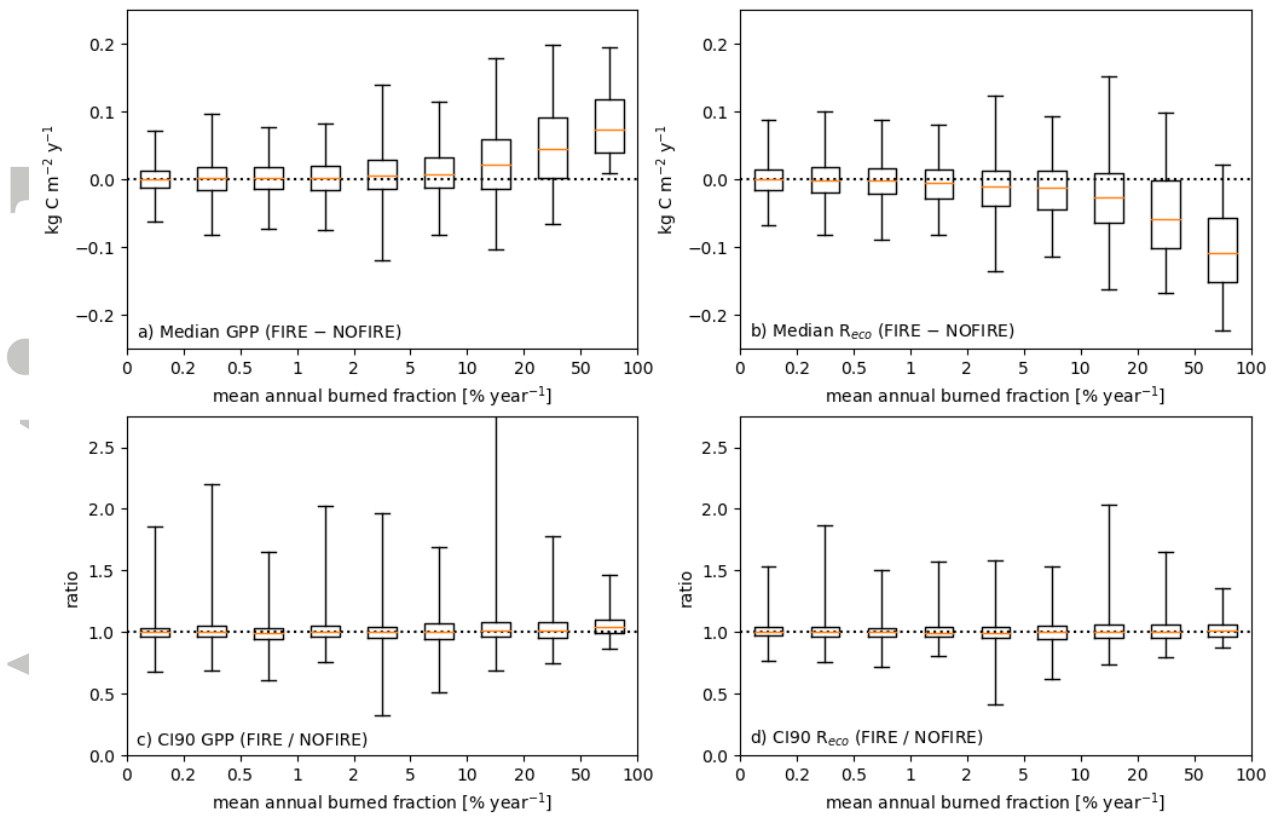
<sup>a</sup>We assume spatial correlation between uncertainties in all pixels: the median, 25<sup>th</sup> and 75<sup>th</sup> percentiles represents the area-weighted aggregate of all pixels' median, 25<sup>th</sup> and 75<sup>th</sup> percentiles.



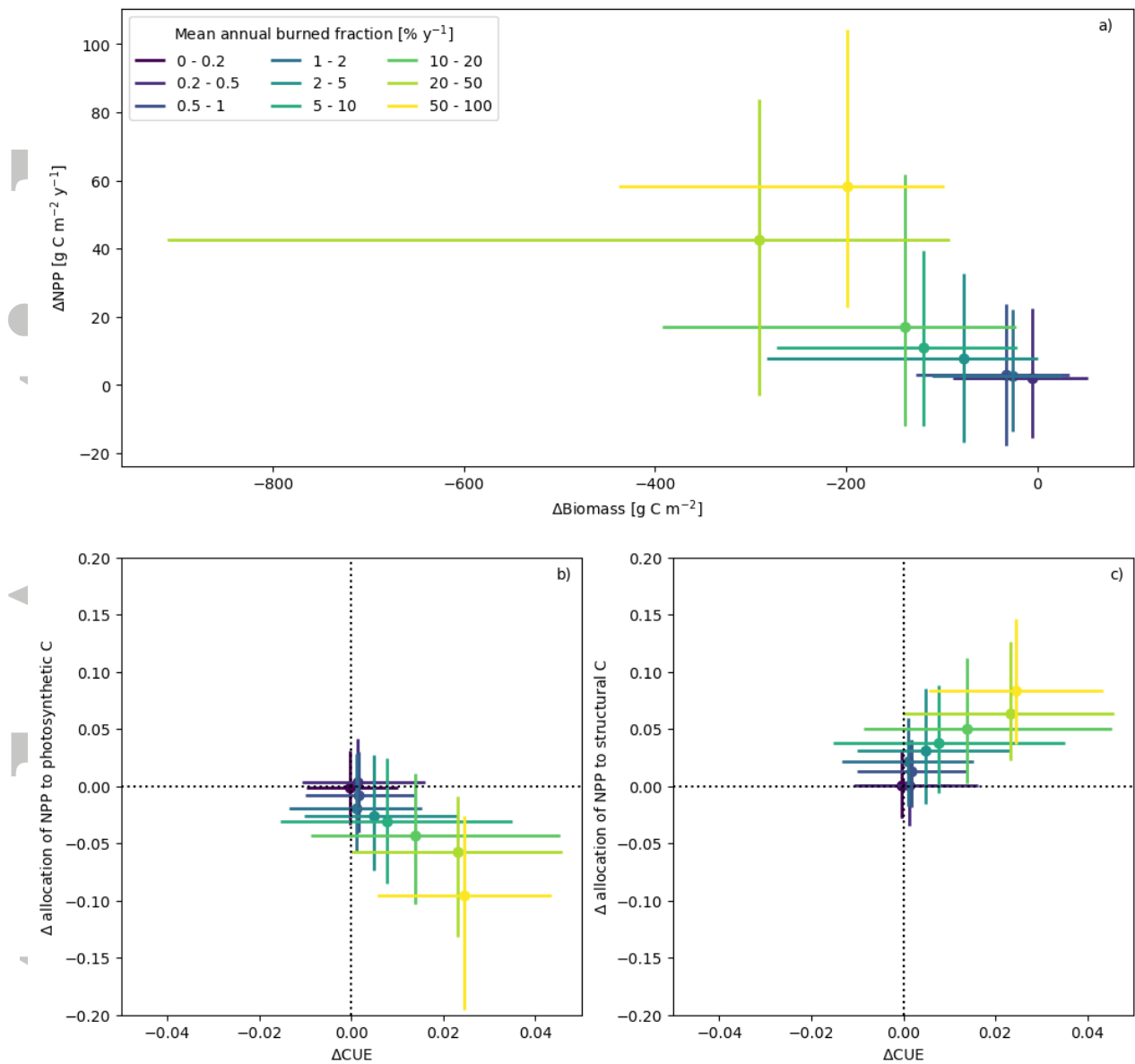
**Figure 1.** Mean annual burned fraction (%) derived from the GFED4 monthly database over 2000-2015 (Giglio et al., 2013). Data is represented for the study regions where equal amount of data were available to constrain CARDAMOM.



**Figure 2.** Schematic of the DALEC model. Green arrows represent biogenic fluxes between carbon pools. The build up of leaves from labile carbon ( $C_{\text{labile}}$ ) is controlled by a Growing Season Index (GSI). Red arrows represent fire emissions (FE) and fire mortality (FM) fluxes which are calculated following equation 1 and 2 respectively.

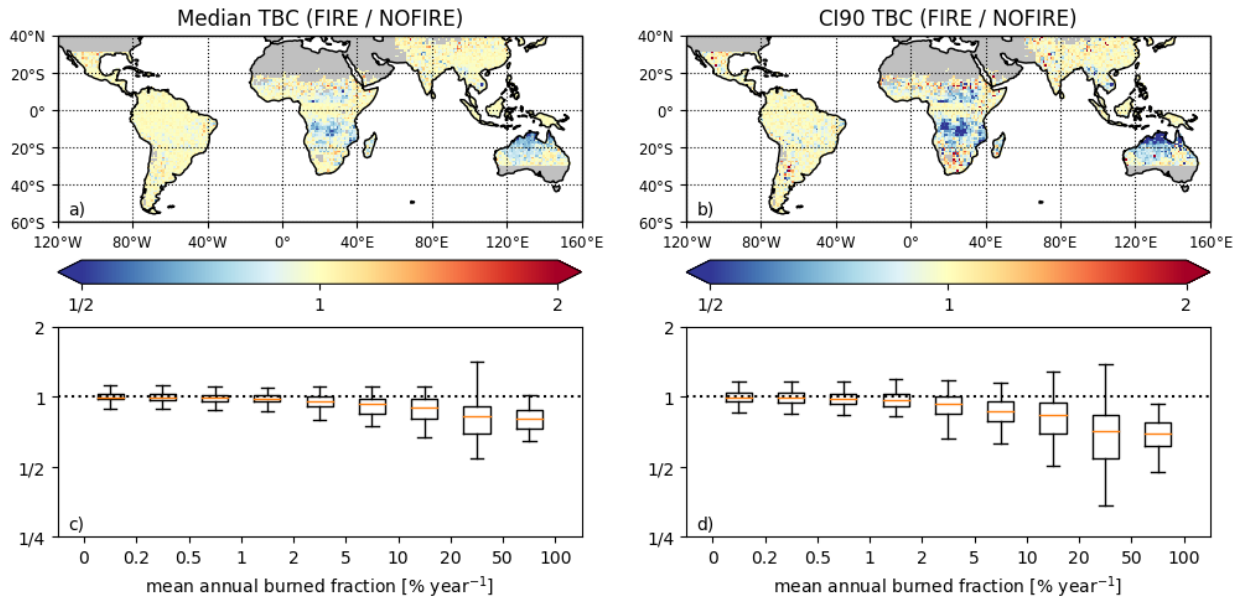


**Figure 3.** Impact of introducing fire on retrieved mean annual fluxes of GPP (a, c) and  $R_{eco}$  (b, d). The top row represents the absolute difference in the retrieved median values and the bottom row represents the relative difference in the spread of the CI<sub>90</sub>.

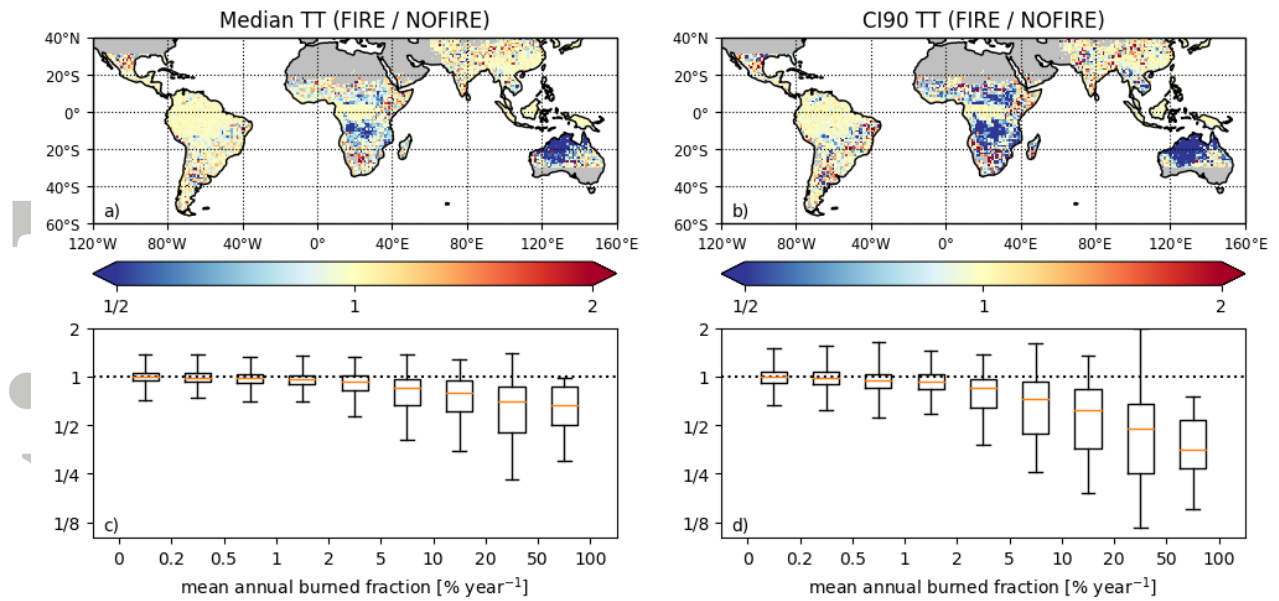


**Figure 4.** Difference in (a) retrieved NPP and biomass, (b) retrieved CUE and allocation of NPP to photosynthetic C and (c) retrieved CUE and allocation of NPP to structural C between the FIRE and NOFIRE experiments. Errors bars represent the 50% confidence interval of retrieved medians.





**Figure 5.** Ratio of total biomass carbon between FIRE and NOFIRE experiments. Ratio of median values (a) and ratio of the width of the 90% confidence interval CI<sub>90</sub> (b) are presented. Panels (c) and (d) present the distribution of the information in maps (a) and (b) as a function of the mean annual burned fraction in each pixel, respectively. Boxes represent median and inter-quartiles while whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles.



**Figure 6.** Ratio of vegetation carbon turnover times between FIRE and NOFIRE experiments. Ratio of median values (a) and ratio of the width of the 90% confidence interval CI<sub>90</sub> (b) are presented. Panels (c) and (d) present the distribution of the information in maps (a) and (b) as a function of the mean annual burned fraction in each pixel, respectively. Boxes represent median and inter-quartiles while whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles.